

# Deformation Theory and Operads

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Recall. What you need for an operad is a sequence of spaces  $\mathcal{O}(n)$  for  $n \geq 1$ . You have a right  $\Sigma_n$  action on  $\mathcal{O}(n)$ , a  $\circ_i : \mathcal{O}(n) \otimes \mathcal{O}(m) \rightarrow \mathcal{O}(n+m-1)$  satisfying associativity and equivariance, and then a unit  $\mathcal{O}(1)$  which satisfies a unit condition. The two examples were the endomorphism operad of a vector space and of a topological space. We also did  $Com$  with  $Com(n) = k$  for  $n \geq 1$  with the trivial action. I want to introduce a couple more operads today, associative and Lie, but before that I should talk about another example.

[What about the sign representation on  $Com$ ?]

Tensoring with this  $\widetilde{Com}$  gives an operad where  $A$  is an algebra over  $\mathcal{O} \otimes \widetilde{Com}$  if  $\Pi A$  is an algebra over  $\mathcal{O}$ . This is in a paper of Tamarkin's.

Let  $\mathcal{O}$  be an operad in vector spaces such that  $\mathcal{O}(n) = 0$  for all  $n \geq 2$ . So then  $\mathcal{O}(1) = V$ . This means that we have a right  $\Sigma_1$  action. That's vacuous. Then we have an operation  $\circ_1 : V \otimes V \rightarrow V$  satisfying associativity, along with a unit for  $\circ_1$ . In other words, we get an operad if and only if  $(V, \mu, \mathbf{1})$  is an associative algebras. So associative algebras sit inside operads.

Let me make the definition of an algebra over an operad. First, let  $\mathcal{O}, \mathcal{P}$  be two operads in  $\mathcal{C}$  where this is either  $Top$  or  $Vect$ . Then a morphism of operads  $\mathcal{F} : \mathcal{O} \rightarrow \mathcal{P}$  consists of a sequence of morphisms  $\mathcal{F}_n : \mathcal{O}(n) \rightarrow \mathcal{P}(n)$  such that

1.  $\mathcal{F}_n(f) \circ_i \mathcal{F}_n(g) = \mathcal{F}_{n+m-1}(f \circ_i g)$ .
2.  $\mathcal{F}_n(f \cdot \sigma) = \mathcal{F}_n(f) \cdot \sigma$
3.  $\mathcal{F}_1(\mathbf{1}_{\mathcal{O}}) = \mathbf{1}_{\mathcal{P}}$

We call  $V$  an algebra over an operad  $\mathcal{O}$  if we are given a map from  $\mathcal{O}$  into the endomorphisms of  $V$ .

I guess the first interesting example is that a map  $Com \rightarrow End_V$  is really for each  $n$  a map  $Com(n) \rightarrow Hom(V^{\otimes n}, V)$ .

So  $Com(n)$  is  $k$  so all you do is choose, well, start with  $Com(1)$ . This should go into  $Hom(V, V)$  so it should be the identity, the unit maps to the unit.  $Com(2)$  maps into  $Hom(V^{\otimes 2}, V)$ . This is the only information, as we'll see in a moment. Take the unit of the field and this maps to  $\mu : V^{\otimes 2} \rightarrow V$ . There is a  $\sigma_2$  action here, and it is trivial. So the product  $\mu$  is commutative.

All higher ones are generated by this; if you look at  $1_3$  in  $Com(3)$ , this is  $1_2 \circ_1 1_2$ , which is  $\mu_3 : V^{\otimes 3} \rightarrow 3$ . This means that  $\mu_3(v_1, v_2, v_3) = \mathcal{F}_3(1_3)(v_1, v_2, v_3)$ , which is

$$\mathcal{F}_3(1_2 \circ_1 1_2)(v_1, v_2, v_3) = \mathcal{F}_2(I_2) \circ_1 \mathcal{F}_2(I_2)(v_1, v_2, v_3) = \mu \circ_1 \mu(v_1, v_2, v_3) = \mu(\mu(v_1, v_2), v_3)$$

The outcome is that  $V$  is an algebra over  $Com$  if and only if  $(V, \mu)$  is a commutative associative algebra.

Let's get to a more interesting example. The associative operad  $Assoc(n)$  is the vector space spanned by non-commuting monomials in the variables  $x_1, \dots, x_n$ , containing each  $x_i$  exactly once. This is the span of  $\{x_{\sigma(1)}, \dots, x_{\sigma(n)} | \sigma \in \Sigma_n\}$ . So maybe let's do the lowest one. The lowest one is spanned by  $x_1$ .  $Assoc(2)$  is spanned by  $x_1x_2$  and  $x_2x_1$ ;  $Assoc(3)$  has six permutations. We need a unit, which will be  $x_1$ . The  $\Sigma_n$  action is that  $(x_{\sigma(1)}, \dots, x_{\sigma(n)})\rho = x_{\sigma\rho(1)}, \dots, x_{\sigma\rho(n)}$ . Check that this is an action, that  $(\bar{x}_\sigma\rho)\rho' = (\bar{x}_\sigma)\rho\rho'$ .

Composition of  $(x_{\sigma_1}, \dots, x_{\sigma_n}) \circ_i (x_{\rho_1}, \dots, x_{\rho_m})$  is the substitution of  $x_{\rho_1}, \dots, x_{\rho_m}$  at the  $x_i$ th spot. So  $(x_3x_1x_2x_4) \circ_2 (x_3x_2x_1)$ . This should be in  $Assoc(6)$ . It's  $x_5x_1(x_4x_3x_2)x_6$ . I put the parentheses to acknowledge the placement. They don't matter.

So what is an algebra over  $Assoc$ ? It's  $\mathcal{F}_n : Assoc(n) \rightarrow Hom(V^{\otimes n}, V)$ . So the unit goes to the identity again, and then  $x_1x_2 \in Assoc(2)$  goes to  $\mu \in Hom(V^{\otimes 2}, V)$ . Then  $x_2x_1$  goes to  $\tilde{\mu} \in Hom(V^{\otimes 2}, V)$ . So  $\mu(v_1, v_2) = \mathcal{F}_2(x_1x_2) = \mathcal{F}_2(x_2x_1.\tau)(v_1, v_2) = \mathcal{F}_2(x_2, x_1).\tau(v_1, v_2) = \tilde{\mu}.\tau(v, v_2) = \tilde{\mu}(v_2, v_1)$  so  $\tilde{\mu} = \mu^{op}$ . So the  $\circ_i$  operation determine the higher operations in terms of the lower ones, and that the product is associative.

From the  $\Sigma_n$  action we see that

$$\mathcal{F}_n(x_{\sigma(1)}, \dots, x_{\sigma(n)})(v_1, \dots, v_n) = \mathcal{F}_n(x_1, \dots, x_n.\sigma)(v_1, \dots, v_n) = \mathcal{F}_n(x_1, \dots, x_n).\sigma(v_1, \dots, v_n) = \mathcal{F}_n(x_1, \dots, x_n)(v_{\sigma(1)}, \dots, v_{\sigma(n)})$$

So this is the general scheme. If you have a structure and want to build the operad that governs it, we've done the associative and the commutative. We could do the commutative differently. The associative algebra was  $x_1, \dots, x_n$  and, well, let's see how I want to write this. Note that we could have also written  $Com(n)$  to be the span of all monomials with exactly one  $x_1$  up to  $x_n$  living in the free symmetric algebra.

Let  $Lie(n)$  be the span of all monomials with exactly one  $x_1, \dots, x_n$  in the free Lie algebra  $L(x_1, \dots, x_n)$ . Recall that  $(L, [, ]) is a Lie algebra if  $[, ] : L \otimes L \rightarrow L$  satisfies  $[\ell_1, \ell_2] = -[\ell_2, \ell_1]$  and  $[[\ell_1, \ell_2], \ell_3] + [[\ell_2, \ell_3], \ell_1] + [[\ell_3, \ell_1], \ell_2] = 0$  (Jacobi) You think of the free Lie algebra as anything you can build out of brackets. Any iterated brackets up to anticommutativity and Jacobi.$

Recall that for a vector space  $V$  we have the free tensor algebra  $TV$ , the sum

$$\bigoplus_{n \geq 0} V^{\otimes n}$$

satisfying that it is free, namely for all vector space maps  $V \rightarrow A$  there is a unique lift  $TV \rightarrow A$  such that you have some commutative diagram.

$$\begin{array}{ccc} & & TV \\ & \nearrow i & \downarrow \tilde{f} \\ V & \xrightarrow{f} & A \end{array}$$

This map just depends on the lowest component. You eventually see that  $\tilde{f}(v_1 \otimes \cdots \otimes v_n) = f(v_1) \cdots f(v_n)$ . There is an induced Lie algebra structure on  $TV$  given by  $[x, y] = x \otimes y - y \otimes x$ . Then define  $LV$  to be the Lie subalgebra of  $TV$ ,  $[\cdot, \cdot]$  generated by  $V$ . This is explicitly the intersection of all Lie algebras inside  $TV$  containing  $V$ . This is  $LV$  and one of the goals is to show that this is the free Lie algebra generated by  $V$ . For each vector space morphism there is a unique lift to  $LV$ . It's unfortunately hard to compute or calculate with  $LV$ .

What I'd like to do is give a characterization of  $LV$ . This is not done very often. Note that  $TV \otimes TV$  is an associative algebra:  $(x \otimes y) \bullet (r \otimes s) = (x \cdot r) \otimes (y \cdot s)$  so using  $\Delta : V \rightarrow TV \otimes TV$ , which maps  $V$  to  $V \otimes 1 + 1 \otimes V$ , I just gave you a map of  $V$  into some algebra. Then using the universal property there is an induced algebra map  $\tilde{\Delta} : TV \rightarrow TV \otimes TV$ . How does this act? So  $\tilde{\Delta}(v_1, \dots, v_n)$  is determined by its lowest components: It's  $\prod \Delta(v_i)$ . So this is  $(v_1 \otimes 1 + 1 \otimes v_1) \cdots (v_n \otimes 1 + 1 \otimes v_n)$ , and multiplying this out you get, for each one,  $v_i$  in one or the other factor. This is called an unshuffle or a shuffle

$$\sum_{p+q=n} \sum_{(p,q)\text{-shuffles } \sigma} (x_{\sigma 1} \otimes \cdots \otimes x_{\sigma p}) \otimes (x_{\sigma(p+1)} \otimes \cdots \otimes x_{\sigma n})$$

Where  $\sigma$  is a  $p, q$  shuffle if  $\sigma(1) < \cdots < \sigma(p)$  and  $\sigma(p+1) < \cdots < \sigma(n)$ .

Let me state, well, this is commutative. You can shuffle in the opposite order, so this is actually a commutative bialgebra. To continue, this is a Hopf algebra with antipode given by  $S(v_1, \dots, v_n)$  is  $(v_n \otimes \cdots \otimes v_1)$

Now let  $\gamma : TV \rightarrow LV$  be given by  $\gamma(1_{TV}) = 0$  and  $\gamma(v) = v$ . Then

$$\gamma(v_1 \otimes \cdots \otimes v_n) = [v_1, [v_2, \cdots, [v_{n-1}, v_n] \cdots]]$$

**Proposition 1** For  $x \in V^{\otimes n} \subset TV$  the following are equivalent:

1.  $x \in LV$
2.  $\tilde{\Delta}(x) = x \otimes 1 + 1 \otimes x$  (Friedrichs)
3.  $\gamma(x) = nx$  (Dynkin-Specht-Weiner)

I'm out of time.